

PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/803,512
		Filing Date	March 18, 2004
		First Named Inventor	Guy T. ROME
		Art Unit	3763
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	6	Attorney Docket Number	480062003800

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
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<input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages	<input type="checkbox"/> CD, Number of CD(s) _____	Copies of 2 foreign references
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Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	MORRISON & FOERSTER LLP, Customer No. 25224		
Signature			
Printed name	Todd W. Wight		
Date	August 9, 2005	Reg. No.	45,218

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

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(Barbara Hayashi)

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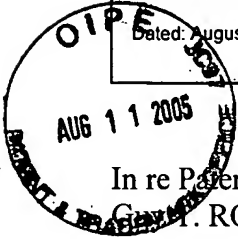
Dated: August 9, 2005

Signature: _____

(Barbara Hayashi)

Patent

Docket No. 480062003800



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
GARY L. ROME

Serial No.: 10/803,512

Filing Date: March 18, 2004

For: MULTIFUNCTION ADAPTOR FOR AN
OPEN-ENDED CATHETER

Examiner: Not Yet Assigned

Group Art Unit: 3763

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the foreign and non-patent documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of __ is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of __ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

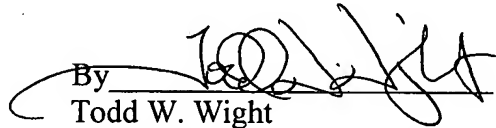
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

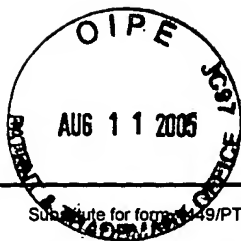
(iii) the information, protocols, results and the like reported by third parties are accurate or enabling;
or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 480062003800.

Dated: August 9, 2005

Respectfully submitted,

By 
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Registration No.: 45,218
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Irvine, California 92612-2445
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ALTERNATIVE TO PTO/SB/08a/b (06-03)

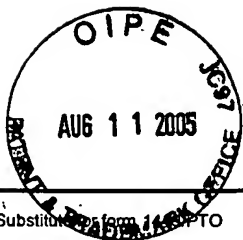
Substitute for form 149/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/803,512
				Filing Date	March 18, 2004
				First Named Inventor	Guy T. ROME
				Art Unit	3763
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	480062003800

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US-D 217,795	06-09-1970	Spaven	
	2	US-2001/0041873-A1	11-15-2001	Dopper et al.	
	3	US-2004/0065333-A1	04-08-2004	Wilson et al.	
	4	US-2004/0092863-A1	05-13-2004	Raulerson et al.	
	5	US-2004/0097903-A1	05-20-2004	Raulerson	
	6	US-2004/0122418-A1	06-24-2004	Voorhees	
	7	US-2004/0171997-A1	09-02-2004	Wilson et al.	
	8	US-2004/0172003-A1	09-02-2004	Wilson, et al.	
	9	US-2004/0176739-A1	09-09-2004	Stephens et al.	
	10	US-2004/0243095-A1	12-02-2004	Nimkar et al.	
	11	US-3,176,690	04-06-1965	H'Doubler	
	12	US-3,805,794	04-23-1974	Schlesigner	
	13	US-4,068,659	01-17-1978	Moorehead	
	14	US-4,431,426	02-14-1984	Groshong et al.	
	15	US-4,445,893	05-01-1984	Bodicky	
	16	US-4,557,261	12-10-1985	Riigheimer	
	17	US-4,581,012	04-08-1986	Brown et al.	
	18	US-4,701,159	10-20-1987	Brown et al.	
	19	US-4,842,592	06-27-1989	Caggiani et al.	
	20	US-4,935,010	06-19-1990	Cox et al.	
	21	US-4,936,826	06-26-1990	Amarasinghe	
	22	US-5,112,301	05-12-1992	Fenton Jr. et al.	
	23	US-5,117,836	06-02-1992	Millar	
	24	US-5,190,529	03-02-1993	McCrary et al.	
	25	US-5,191,898	03-09-1993	Millar	
	26	US-5,197,976	03-30-1993	Herweck et al.	
	27	US-5,312,357	05-17-1994	Buijs et al.	
	28	US-5,405,320	04-11-1995	Twardowski et al.	
	29	US-5,509,897	04-23-1996	Twardowski et al.	
	30	US-5,957,912	09-28-1999	Heitzmann	
	31	US-6,638,242	10-28-2003	Wilson et al.	
	32	US-6,682,519	01-27-2004	Schon	
	33	US-6,695,832	02-24-2004	Schon et al.	
	34	US-6,719,749	04-13-2004	Schweikert et al.	
	35	US-6,872,198	03-29-2005	Wilson et al.	
	36	US-6,881,211-B2	04-19-2005	Schweikert et al.	
	37	US-6,682,498-B2	01-27-2004	Ross	
	38	US-6,682,519-B1	01-27-2004	Schon	
	39	US-6,695,832-B2	02-24-2004	Schon et al.	
	40	US-6,719,749-B1	04-13-2004	Schweikert et al.	
	41	US-6,916,313-B2	07-12-2005	Cunningham	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
	42	-WO 00/23137	04-27-2000		

Examiner Signature		Date Considered	
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oc-301753



ALTERNATIVE TO PTO/SB/08a/b (06-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/803,512
				Filing Date	March 18, 2004
				First Named Inventor	Guy T. ROME
				Art Unit	3763
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	480062003800

43	-WO 97/22374	06-26-1997		
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*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	44	CAMP, "Care of the Groshong Catheter", Oncol Nurs Forum, Vol. 15, No. 6, 1988	
	45	DELMORE ET AL., "Experience with the Groshong Long-Term Central Venous Catheter", Gynecologic Oncology 34, 216-218 (1989)	
	46	MALVIYA ET AL., "Vascular Access in Gynecological Cancer Using the Groshong Right Atrial Catheter", Gynecological Oncology 33, 313-316 (1989)	

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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oc- 301753